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| APPLICATION NUMBER | FILING OR 371 (c) DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|------------------------|-----------------------|------------------------|
| 10/797,582         | 03/10/2004             | Jian-Ku Shang         | 09800240-0071          |

CONFIRMATION NO. 4720

## FORMALITIES LETTER



\*OC000000012804702\*

26263  
 SONNENSCHN NATH & ROSENTHAL LLP  
 P.O. BOX 061080  
 WACKER DRIVE STATION, SEARS TOWER  
 CHICAGO, IL 60606-1080

Date Mailed: 05/27/2004

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 770 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63; identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Additional claim fees of **\$284** as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

07/27/2004 NROCHA1 00000044 10797582

SUMMARY OF FEES DUE:

|  |            |           |
|--|------------|-----------|
| Total additional fee(s) required for this application is \$1184 for a Large Entity | 01 FC:2001 | 385.00 OP |
|  | 02 FC:2201 | 43.00 OP  |
| • \$770 Statutory basic filing fee.  | 03 FC:2202 | 99.00 OP  |
| • \$130 Late oath or declaration Surcharge.  | 04 FC:2051 | 65.00 OP  |

- Total additional claim fee(s) for this application is **\$284**
  - **\$86** for **1** independent claims over 3.
  - **\$198** for **11** total claims over 20.

Replies should be mailed to:    Mail Stop Missing Parts  
   Commissioner for Patents  
   P.O. Box 1450  
   Alexandria VA 22313-1450

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*A copy of this notice MUST be returned with the reply.*

T. Katsela  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: M.S. - Missing Parts, Commissioner for Patents  
P.O. Box 1450, Alexandria, VA 22313-1450 on:



July 23, 2004  
Date of Deposit

Paul E. Rauch, Ph.D.  
Name

[Signature]  
Signature

Our File No. ILL04-029-US

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

Jian-Ku Shang et al.

Serial No. 10/797,582

Filing Date: March 10, 2004

For High Surface Area Ceramic Coated  
Fibers

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) Customer Service Center  
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) Initial Patent Examination Division  
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**RESPONSE TO NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**

M.S. - Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

In accordance with the Notice to File Missing Parts of Nonprovisional Application dated May 27, 2004, enclosed herewith for filing are the following documents:

- ☒ Credit Card Payment Form \$632.00 (filing fee of \$527.00, surcharge of \$65.00 and assignment recordal fee of \$40.00)
- ☒ Copy of Original Notice to File Missing Part of Nonprovisional Application
- ☒ Two Fully executed Declarations and Powers of Attorney for Patent Application
- ☒ Preliminary Amendment
- ☒ Assignment Recordal, Two Assignments
- ☒ Additional fees required as follows:

|   |        |
|---|--------|
| Statutory Basic Filing Fee                | 385.00 |
| Extra Claims over 20 (11x \$9)            | 99.00  |
| Extra Independent Claims over 3 (1x \$43) | 43.00  |
| Oath or Declaration Surcharge             | 65.00  |
| Total                                     | 592.00 |

- ☒ The Commissioner is hereby authorized to charge any fees associated with this communication not covered by check or credit card payment or credit any overpayment to Deposit Account No. 50-3123. A duplicate copy of this sheet is attached.

Respectfully submitted,

[Signature]  
Paul E. Rauch, Ph.D.  
Registration No. 38,591

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